

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/935,426
Filing Date	August 22, 2001
First Named Inventor	David Locket
Art Unit	2621
Examiner Name	Robert Chevalier
Attorney Docket Number	60097-0027

Sheet	1	of	3
-------	---	----	---

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>†</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>(*) or Accession#</sup>			
		5,787,225	07/28/1998	Honjo	
		5,761,166	06/02/1998	Sedimayr et al.	
		5,521,630	05/28/1996	Chen et al.	
		5,583,652	12/10/1996	Ware	
		5,586,264	12/17/1996	Belknap et al.	
		5,603,058	02/11/1997	Belknap et al.	
		5,664,044	09/02/1997	Ware	
		5,668,948	09/16/1997	Belknap et al.	
		5,712,976	01/27/1998	Falcon, Jr. et al.	
		5,805,821	09/08/1998	Saxena et al.	
		5,808,607	09/15/1998	Brady et al.	
		5,121,476	06/09/1992	Yee	
		5,227,876	07/13/1993	Cucchi et al.	
		5,600,364	02/04/1997	Hendricks et al.	
		6,229,532	05/08/2001	Fujii	
		6,243,741	06/05/2001	Utsumi	
		6,698,020	02/24/2004	Zigmond et al.	
		7,055,166	05/30/2006	Logan et al.	
		5,729,741	03/17/1998	Liaguno et al.	
		6,516,467	02/04/2003	Schindler	
		20080288998	11/20/2008	Locket	
		5,388,264	02/07/1995	Tobias, II et al.	
		5,648,824	07/15/1997	Dunn et al.	
		6,400,407	06/04/2002	Zigmond et al.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considers whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
Application Number	09/935,426		
Filing Date	August 22, 2001		
First Named Inventor	David Lockett		
Art Unit	2621		
Examiner Name	Robert Chevalier		
Attorney Docket Number	60097-0027		

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST-16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/935,426
Filing Date	August 22, 2001
First Named Inventor	David Locket
Art Unit	2621
Examiner Name	Robert Chevalier
Attorney Docket Number	60097-0027

Sheet 3

of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Ex Parte Reexamination Certificate, U.S. Patent No. 6,233,389, C1, issued Nov. 11, 2008	
		Order Granting Request for Ex Parte Reexamination, U.S. Patent No. 6,233,389, Control No. 90/009,329, mailed January 7, 2009	
		Peuker, Thomas, "An Object-Oriented Architecture for the Real-Time Transmission of Multimedia Data Streams", Institute für Mathematische Maschinen und Datenverarbeitung (Informatik) IV, Lehrstuhl für Betriebssysteme Universität Erlangen-Nürnberg, Erlangen, March 17, 1997	
		Mayer-Patel, Ketan et al., "Synchronized Continuous Media Playback Through the World Wide Web", U.C. Berkeley, Computer Science Division, Berkeley Multimedia Research Center, Published:1996, Berkeley, CA	
		Chatterjee, Amit et al., "Microsoft DirectShow: A New Media Architecture", SMPTE Journal, pp. 865-871, December 1997	
		Fung, Chi-Leung et al., "MOCS: an Object-Oriented Programming Model for Multimedia Object Communication and Synchronization", Department of Computer Science, The Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, 1994 IEEE	
		Gibbs, Simon, "Composite Multimedia and Active Objects", Centre Universitaire d'Informatique, Université de Genève, Proc., appeared in OOPSLA '91.	
		EUROPEAN PATENT OFFICE, Communication dated Feb. 5, 2009, in European Patent No. 1729515, Notice of Opposition, Brunner/Williamson opponents	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

† Applicant's unique citation designation number (optional). ‡ Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.